

Dealing Account Transfer In From Another Broker



Please contact the Customer Experience Centre on **0345 0700 720** if you have any questions about completing this form.

Please complete all the fields in this form (where applicable).

Section A: Primary applicant personal details

EQi Dealing Account number

If you do not have an account, you must also complete a Dealing Account application form and return it with this form.

Title Mr Mrs Miss Ms Other

First name(s)

Last name

Telephone number

Postal address

Date of birth

Day

Month

Year

Section B: Transfer investments from another account provider

Name of existing provider

Address details of existing
provider

Telephone number of
existing provider

Account name(s)

Account number

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Section B: (continued)

Which securities do you wish to transfer? (Listed in the order to be transferred)	Quantity
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Section C: Declaration

I/**We hereby authorise** the existing provider named in **Section B** to provide EQi with all such relevant information relating to my/our investments which they may require, and to transfer these investments to my/our EQi Dealing Account with immediate effect.

If my transfer to EQi includes any funds not held in a clean share class, and a clean class equivalent exists, I authorise EQi to convert these funds into the clean fund equivalent at no extra cost.

Please note: If there is no clean fund equivalent we will hold the fund in its existing form, but will be entitled to convert it in future if a clean fund equivalent is created. You will be notified of any conversions that take place at a later date via your account.

I/**We confirm that** I/we have a Dealing Account or enclose a Dealing Account application form.

Please print and sign your form with a wet signature before submission

Signed

Date

Day Month Year

Joint holder Signatures(s) (if applicable)

Signed

Date

Day Month Year

Signed

Date

Day Month Year

Signed

Date

Day Month Year

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Next steps

You will receive a notification when your transfer has been initiated. We will contact the other party and arrange for the stock to be transferred into your account. Once the transfer has been completed, you will receive notification that the stock is available in your account.

Please remember to print and sign your form with a wet signature before submission.

Please return this form to: **EQi, PO BOX 4923, Worthing, BN99 6SF**